

08/31/01
11031 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
50277-1787

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Bala Kumaresan, et al., entitled TECHNIQUES FOR MANAGING A DATABASE SYSTEM INCLUDING ONE OR MORE DATABASE SERVERS for a(n):

() Original Patent Application.

(X) Continuing Application (prior application not abandoned):

() Continuation (X) Divisional () Continuation-in-part (CIP)
of prior application No: 09/240,229 Filed on: 1/29/99

(X) A statement claiming priority under 35 USC § 121 has been added to the specification.

Enclosed are:

(X) Specification (including cover sheet); 36 Total Pages. (X) Drawing(s); 10 Total Sheets.

(X) Oath or Declaration:

() A Newly Executed Combined Declaration and Power of Attorney:

() Signed. () Unsigned. () Partially Signed.

(X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

(X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

(X) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

() Power of Attorney.

(X) Return Receipt Postcard.

() Associate Power of Attorney.

(X) A Check in the amount of \$710.00 for the Filing Fee.

() Preliminary Amendment.

(X) Information Disclosure Statement and Form PTO-1449.

() Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

(X) A Duplicate Copy of this Form for Processing Any Deficiency of Fee Against Deposit Account.

() A Certified Copy of Priority Documents (if foreign priority is claimed).

() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	9	0	\$18.00	\$ 0.00
Independent Claims	1	0	\$80.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 0.00
Basic Filing Fee				\$ 710.00
Total Filing Fee				\$ 710.00

Charge \$_____ to Deposit Account _____ pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to above deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: _____

Brian D. Hickman,
Attorney of Record, Reg. No. 35,894

Date: August 31, 2001

Correspondence Address:



29989

PATENT & TRADEMARK OFFICE


I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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Date of Deposit: August 31, 2001

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FEE TRANSMITTAL for FY 2001		Complete if Known																																																																																																																																																																																																																																																													
<i>Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>		Application Number																																																																																																																																																																																																																																																													
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108	710	208	355	Reissue filing fee																																																																																																																																																																																																																																																											
114	150	214	75	Provisional filing fee																																																																																																																																																																																																																																																											
Total Claims	Extra Claims	Fee from Below	Fee Paid																																																																																																																																																																																																																																																												
9	-20**= 0	18.00	0.00																																																																																																																																																																																																																																																												
1	-3***= 0	80.00	0.00																																																																																																																																																																																																																																																												
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description																																																																																																																																																																																																																																																											
103	18	203	9	Claims in excess of 20																																																																																																																																																																																																																																																											
102	80	202	40	Independent claims in excess of 3																																																																																																																																																																																																																																																											
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																											
109	80	209	40	**Reissue independent claims over original patent																																																																																																																																																																																																																																																											
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																											
Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid																																																																																																																																																																																																																																																										
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																											
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																																											
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																											
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																											
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																											
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																											
116	390	216	195	Extension for reply within second month																																																																																																																																																																																																																																																											
117	890	217	445	Extension for reply within third month																																																																																																																																																																																																																																																											
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																																																																																											
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																																																																																											
119	310	219	155	Notice of Appeal																																																																																																																																																																																																																																																											
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																																																																																											
121	270	221	135	Request for oral hearing																																																																																																																																																																																																																																																											
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																											
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																											
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																																											
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																											
143	430	243	215	Design issue fee																																																																																																																																																																																																																																																											
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																											
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																											
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																											
126	240	126	240	Submission of information Disclosure Stmt																																																																																																																																																																																																																																																											
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																											
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																											
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																											
Other fee (specify) _____																																																																																																																																																																																																																																																															
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*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																																															
SUBTOTAL (2) (\$)		SUBTOTAL (3) (\$)																																																																																																																																																																																																																																																													
SUBMITTED BY		Complete (if applicable)																																																																																																																																																																																																																																																													
Name (Print/Type) Brian D. Hickman		Registration No. (Attorney/Agent) 35,894																																																																																																																																																																																																																																																													
Signature 		Telephone (408) 414-1080																																																																																																																																																																																																																																																													
		Date August 31, 2001																																																																																																																																																																																																																																																													

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bala Kumaresan, et al.

Group Art Unit: NYA

Application No.:

Examiner: NYA

Filed:

Title: TECHNIQUES FOR MANAGING A DATABASE
SYSTEM INCLUDING ONE OR MORE DATABASE
SERVERS

**STATEMENT TO DELETE INVENTORS NAMED IN DECLARATION OF PRIOR
APPLICATION PURSUANT TO C.F.R. 1.63(d)(2)**

Box Patent Applications
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby request the deletion of the following inventors from the copy, filed concurrently herewith, of the declaration of the prior application Serial No. 09/240,229 filed January 29, 1999. The declaration of the prior application lists inventors for all claims at the time of filing. On July 18, 2001, in response to a restriction requirement imposed by an Office Action on the prior application, the prior application was amended to cancel claims and to delete inventors who contributed only to the canceled claims. Some of the canceled claims are the subject of the divisional application filed concurrently herewith. Thus, the declaration for the prior application includes the names of inventors who are no longer inventors of the prior application. Furthermore, the declaration for the prior application also includes names of inventors who are not inventors for the claims of the divisional application filed concurrently herewith.

The inventors to be deleted from the copy of the declaration for the divisional application filed concurrently herewith are as follows:

Lawrence J. Ellison, C. Gregory Doherty, Jay Rossiter, David Stowell,

Pari Bhaduri, Venkat Malla, Jane Chen, Srinivas Maturi, Balasubramanyam

Sthanikam, Yuanjiang Ou, Joseph Therrattil Koonen, Harish Rawat, and Muthu

Olagappan.

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to: Box Patent Applications, Commissioner for Patents, Washington, D.C. 20231.

Express Mail No. EL734779672US

Date of Deposit: August 31, 2001

Typed Name:

Jennifer Newell

Signature:

Jennifer Newell

Respectfully submitted,

By



Brian D. Hickman

Reg. No. 35,894

Date: August 31, 2001

Telephone No.: (408) 414-1080 ext. 201

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San Jose, California 95125-5106

DECLARATION OF DEPOSIT